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1135 U.S. PTO

Express Mail No. EL 828015157US

Docket No. 23679-7004

JC997 U.S. PTO
10/055636

01/23/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: William B. Paxton, et al.
Appln. No.: New Application
Filing Date: Herewith
Title: OPTICAL CONTROLLER

UTILITY PATENT APPLICATION & FEE TRANSMITTAL
(for nonprovisional applications under 37 CFR § 1.53(b))

CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 CFR § 1.10
I, Pam Pascual, hereby certify that this paper and all enclosures are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 CFR § 1.10 on January 23, 2002, Express Mail Label No. EL 828015157US and is addressed to the Commissioner for Patents, Washington, D.C., 20231.
Pam Pascual
Signature of Person Mailing

Commissioner for Patents
BOX PATENT APPLICATIONS
Washington, D.C. 20231

Sir:
Transmitted herewith for filing in connection with the above-identified patent application are the following:

I. Elements of the Application

- ☒ Application [1] page(s) of Cover Sheet
[19] page(s) of Specification
[7] page(s) of Claims (___ claims)
[1] page(s) of Abstract
[6] sheets of Drawings [] Formal [X] Informal
- ☒ Declaration and Power of Attorney [2] page(s)
[] Unexecuted [X] Executed [] Copy from prior application (37CFR § 1.63(d))
- ☐ Deletion of Inventors: [] page(s) of signed statement deleting inventor(s) (37CFR § 1.63(d) & 1.33(b))
- ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- ☐ Nucleotide and/or Amino Acid Sequence Submission:
[] page(s) of Sequence Listing (paper copy)
[] disk(s) containing Sequence Listing (computer readable copy)
[] page(s) of Statement Under 37 CFR 1.821(f)
- ☐ Microfiche Computer Program Appendix _____

II. Claim for U.S. Priority

- ☐ This application is a ☐ Continuation ☐ Divisional ☐ Continuation-in-Part
of Prior Application No. _____ filed _____, (35 USC § 120)
- ☐ This application claims the benefit under 35 U.S.C. § 119(e) of United States Application Serial
No. 60/_____ filed _____. (Provisional Application)
- ☐ The entire disclosure of the prior application referenced above, is considered as being part of the application
filed herewith and is herein incorporated by reference in its entirety.

III. Claim for Foreign Priority

- ☐ This application claims the benefit under 35 USC § 119 of Prior Application No. _____
filed _____.
- ☐ Certified Copy of Priority Document [] page(s)
- ☐ English Translation of Priority Document [] page(s)

IV. Accompanying Documents

- ☒ Assignment Papers [1] page(s) of Recordation Cover Sheet [2] page(s) of Assignment
- ☐ Applicant(s) claims Small Entity Under 37 CFR § 1.27 [] Verified Small Entity Statement was previously filed in Prior Application No. _____ on _____.
- ☒ Information Disclosure Statement [1] page(s) of PTO-1449 [13] copies of IDS References
- ☐ A Preliminary Amendment [] page(s)
- ☐ A copy of a Petition for Extension of Time (_ mos.) filed simultaneously in Prior Application No. _____
- ☐ A copy of a Submission of Processing & Retention Fee (37 CFR § 1.78(a)(1) which is being filed simultaneously in Prior Application No. _____
- ☒ Other **Petition for Expedited Handling of Foreign Filing License** (specify)
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

V. Fee Calculation

<input type="checkbox"/> The following fees are submitted:				CALCULATIONS	
		OTHER THAN SMALL ENTITY	SMALL ENTITY		
BASIC NATIONAL FILING FEE		\$ 740.00	\$ 370.00	\$740.00	
EXTRA CLAIMS FEE					
CLAIMS	# FILED	# EXTRA	RATE	RATE	
Total Claims	35- 20 =	15	× \$18.00	× \$ 9.00	\$270.00
Independent claims	6- 3 =	3	× \$84.00	× \$42.00	\$252.00
MULTIPLE DEPENDENT CLAIM(S)					
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		\$280.00	\$140.00	\$0.00	
Fee for recordation of the enclosed assignment (37 CFR 1.21(h), 3.28, 3.31).					
1 (Numbers of Applications) × \$40.00				\$40.00	
OTHER FEES PETITION FOR EXPEDITED HANDLING OF FOREIGN FILING LICENSE (specify)				\$130.00	
TOTAL FEES =				\$1,432.00	

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☒ Please charge Deposit Account No.: 50-1193, Docket No. **23679-7004**, in the amount of **\$1,294.00** to cover the above fees. *A duplicate copy of this sheet is enclosed.*
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1193, Docket No. **23679-7004**
A duplicate copy of this sheet is enclosed

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

DATE

David G. Beck
 NAME

37,776
 REGISTRATION NUMBER